

Qualification Handbook

BIIAB Level 2 Award for Personal Licence Holders

603/2468/5

C00/1212/8

Version 3

Version and date	Change, alteration or addition	Section
Version 2 – October 2017	Updated with new regulatory numbers (for both Ofqual and QW)	Front cover, Contents page, Section 1, 6
	Updated unit information with newly regulated unit information	Section 16
Version 3 – January 2018	Updated throughout to remove reference to “QCF”	
	RoC updated with TQT figures	

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1. About the BIIAB Level 2 Award for Personal Licence Holders

BIIAB is regulated to deliver this qualification by Ofqual in England and Northern Ireland, and Qualifications Wales in Wales. The qualification has a unique Qualification Number (QN) which is shown below. Each unit within the qualification will also have a regulatory Unit Reference Number (URN).

The QN code will be displayed on the final certificate for the qualification.

Qualification Title	Qualification Number (QN)	Qualification Wales Approval/Designation Number
BIIAB Level 2 Award for Personal Licence Holders	603/2468/5	C00/1212/8

2. Objective and Purpose of this Qualification

This qualification has been designed to allow learners to obtain and then demonstrate the knowledge related to alcohol legislation at Level 2. The achievement of this qualification is a mandatory component for all people wishing to obtain a personal licence to sell alcohol within England and Wales.

The primary purpose of the qualification is to confirm occupational competence and/or 'licence to practise'. However, employers and licensing authorities can also rely on the knowledge provided as meeting nationally recognised standards within alcohol legislation at this level as such the sub-purpose is to Confirm the ability to meet a 'licence to practise' or other legal requirements made by the relevant sector, professional or industry body.

Due to constant Regulatory, policy and funding changes users are advised to check this qualification has been placed in the relevant Apprenticeship Framework and / or is funded for use with individual learners before making registrations. If you are unsure about the qualifications status please contact BIIAB head office.

3. About this Handbook

This support pack has been developed to provide guidance for learners, assessors and quality assurers undertaking, delivering, or quality assuring this qualification.

The purpose of the support pack is to provide the majority of the key information that may be needed to prepare for, and help support, the successful delivery of the qualification, in one place.

If this pack is updated, centres will be notified via the BIIAB monthly newsletter which goes to approved centres.

4. BIIAB Customer Service

BIIAB is committed to giving the highest possible levels of customer service. The BIIAB's Service Level Agreement is available via www.biiab.org.

Our Customer Service team can be contacted between the hours of 0900 and 1700 Monday to Friday by using the contact details below, or outside those hours, by leaving a message on our voicemail service.

Customer Service Contact Details: 01276 684449

Email: customersupport@bii.org

Our Customer Service team will be happy to assist with any administration related enquiries you may have. For example:

- registration and certification enquiries
- re-certification issues
- centres available in the local area
- appeals
- whistleblowing.

5. What are Rules of Combination (ROC)?

Under the Regulatory Framework qualifications can be made up of a combination of mandatory and/or optional units. The units and credits required to complete a qualification are set out by the rules of combination (RoC). The RoC allows for flexibility and transferability.

The ROC will specify:

- The total credit value of the qualification
- The amount of credit that must be achieved within specific groupings of units (e.g. Mandatory, Optional Unit, and Optional groups)
- The minimum credit which must be achieved at the level or above the level of the qualification
- The Total Qualification Time (TQT)
- The title, Unit Regulation Number and BIIAB Unit number for each unit, alongside its level, credit, and Guided Learning Hours (GLH)
- Any barred units (units that cannot be taken together as part of the qualification).

When choosing the appropriate route for a learner or group of learners, it is the responsibility of the centre to ensure the rules of combination are adhered to.

6. BIIAB Level 2 Award for Personal Licence Holders Rules of Combination (ROC) and Structure

To achieve the BIIAB Level 2 Award for Personal Licence Holders learners **must** gain a **total of 1** credit. This **must** consist of:

- **Minimum total credit: 1**
- A **minimum of 1** credit **must** be achieved through the completion of units at **Level 2** and above.
- GLH: **8**
- TQT: **10**

The qualification has been developed based upon industry feedback as to the fundamental knowledge required to work in the sector at the level.

Listed below are the qualification units.

Mandatory Unit Group A

Unit No.	URN	Unit Title	Credit	Level	GLH	Assessment Method
LSRPLH	H/601/7631	Legal and Social Responsibilities of a Personal Licence Holder	1	2	8	Multiple-choice exam

7. Age Restriction

The qualification in this handbook is appropriate for use in the following age ranges:

- 16-18
- 19+.

8. Entry Requirements and Progression

There are no entry requirements for this qualification. However, learners **must** be assessed to ensure they have a reasonable chance of achievement and will be able to generate the required evidence.

The qualification is designed to equip learners with the knowledge related to alcohol legislation and responsible retailing at Level 2. The recommended progression route is to the BIIAB Level 3 Award in Hospitality Business Management. It also will allow for a number of progression routes to into other areas of learning and employment.

Achievement of the qualification offers opportunities for progression, including:

- 600/4570/X BIIAB Level 3 Award In Hospitality Business Management
- 600/4577/2 BIIAB Level 3 Certificate In Hospitality Business Management

9. Assessment

Overview of assessment strategy

The Assessment Strategy has been designed by BIIAB, in conjunction with an expert panel, industry experts and a steering group. All BIIAB approved training centres and their assessment must adhere to the designed assessment strategy for this qualification. The qualification contains one knowledge unit, and this unit is externally set and marked by BIIAB. The examination comprises of 40 Multiple-Choice questions. Assessments provided by BIIAB will ensure that effective learning has taken place and that learners have the opportunity to:

- meet the assessment criteria
- achieve the learning outcomes.

Assessment process

Assessments will be accessible and will produce results that are valid, reliable, transparent and fair. BIIAB will ensure that the result of each assessment taken by a learner in relation to a qualification reflects the level of attainment demonstrated by that learner in the assessment, and will be based upon the achievement of all of the specified learning outcomes.

Details of the ordering process, assessment documentation, invigilation requirements to centres and the documentation to be completed can be found in the Examination and Invigilation Regulations for the Administration of BIIAB Qualifications document, available in the password protected area of CentreZone.

BIIAB will make every effort to ensure that it allows for assessment to:

- Be up to date and current
- Reflect the context from which the learner has been taught
- Be flexible to learner needs

Appeals

If learners are dissatisfied with an assessment outcome, they have the right to appeal. The **main** reasons for an appeal are likely to be:

- Learners do **not** understand why they are **not** yet regarded as having sufficient knowledge
- Learners believe they are competent and that they have been misjudged

BIIAB expects most appeals from learners to be resolved within the centre. BIIAB will only consider a learner's appeal after the centre's internal appeals procedure has been fully exhausted.

For full details of the BIIABs appeals procedure please refer to www.biiab.org

10. Initial Assessment and Induction

Prior to the start of any programme it is recommended that centres should make an initial assessment of each learner. This is to ensure that the learners are entered for an appropriate type and level of qualification.

The initial assessment should identify the specific training needs that the learner has, and the support and guidance that they may require when working towards their qualification.

The centre must also identify any units the learner has already completed, or credits they have accumulated, relevant to the qualification.

BIIAB suggests that centres provide an induction programme to ensure the learner fully understands the requirements of the qualification they will work towards, their responsibilities as a learner, and the responsibilities of the centre.

11. Resources

BIIAB provides the following additional resources for this qualification:

- Learner handbook and workbook
- Learning outcomes and assessment criteria
- Specimen questions
- Externally set assessments

All of these resources are available for download via the BIIAB website.

Access to the Units

Units form the qualification and the standard that **must** be achieved in order to be awarded each unit. This is covered within the learning outcomes, assessment criteria and the indicative content that form part of the delivery. BIIAB includes the mandatory units within this pack, and makes all units available via centrezone.bii.org

12. Design and Delivery

Centres must refer to the units that form the qualification and the standard that must be achieved in order to be awarded each unit. This is covered within the learning outcomes and assessment criteria that form part of the delivery.

Each unit within this qualification has been allocated a number of Guided Learning Hours (GLH).

This can include activities such as training/class room based sessions, tutorials, supervised study or supervised 'on-the-job' learning and face-to-face or other pre-arranged 1:1 teaching sessions (e.g. simultaneous electronic communication such as webcam contact or internet messaging). It could also include time spent undertaking assessments.

The qualification will be assigned Total Qualification Time (TQT), which, as well as GLH, will include the estimated number of hours spend in preparation, study or any other supervised learning, study or assessment for an average learner.

When planning how to deliver the qualification it is important to refer to this definition.

Centres must refer to the Assessment Principles and Additional Requirements detailed in this handbook when planning the delivery and assessment of these qualifications.

13. Format of Units

All units within this qualification will be presented in a standard format that is consistent with the format for all units of assessment. The format will give tutors and learners guidance as to the requirements of the unit for successful completion. Each unit within this specification will be in the format below:

Unit Title

This will be shown as it appears on the Register of Regulated Qualifications (<http://register.ofqual.gov.uk>).

Unit Number / Unit Reference Number (URN)

The Unit Reference Number is the unique code that the unit is given by the Regulator. This unit will be referenced on the final qualification certificate. The same unique code for the unit applies in whichever qualification the unit is included within. BIIAB also assign unique unit numbers which normally is consistent when the unit is used in multiple BIIAB qualifications.

Level

This identifies the level of demand for the unit, but may be a different level to that of the overall qualification. The level of the units will be set according to National Occupational Standards and the level descriptors.

Credit

When a whole unit is completed the learner will achieve credits specified by the number of hours' learning time it will take an average learner to complete the unit including the assessment.

Guided Learning Hours (GLH)

The required number of hours that learning should take place under the immediate guidance or supervision of a lecturer, supervisor, tutor or other appropriate provider of education or training.

Learning Outcomes and Assessment Criteria

Learning Outcomes are what the learner is expected to know, understand or be able to do upon successful completion of the unit.

Assessment Criteria are descriptions of the requirements that a learner is expected to meet in order to demonstrate that a learning outcome has been achieved. There are usually multiple assessment criteria for each Learning Outcome.

14. Initial Registration

Registration and Certification

Learners should be registered and certificated via BIIAB's On-line Registration and Certification Service (ORCS) www.orcs.biiab.org. Please refer to BIIAB's Centre Guidance for using ORCS.

Equal Opportunities and Diversity Policy

BIIAB has in place an equal opportunities policy, a copy can be found at centrezone.bii.org.

BIIAB is committed to ensure that:

- Approved centres operate an equal opportunities policy
- Approved centres communicate the policy to staff and learners
- Approved centres have an effective complaints and appeals procedure of which both staff and learners are made aware
- Approved centres are aware of their responsibilities in providing equality of opportunity, particularly with regard to provision for learners with particular assessment requirements.

Reasonable Adjustment Policy

Learners who require reasonable adjustments for their assessments **must** inform their assessor at the beginning of their course of their requirements. BIIAB has a reasonable adjustment policy in place, a copy of which is provided to all BIIAB approved centres and can be found at centrezone.bii.org.

15. Qualification Review and Feedback

BIIAB is committed to the ongoing review of this qualification to ensure it remains fit for purpose.

This review approach involves the collation of evidence in the form of any information, comments and complaints received from users of this qualification in relation to its development, delivery and award.

BIIAB will give due regard to any credible evidence received which suggests that a change in approach to the development, delivery and award of this qualification is required in order to ensure that no adverse effects will result. This qualification will be periodically reviewed and revised to ensure the content remains relevant, assessment approach remains appropriate and that it remains valid and fit for purpose.

16. Mandatory Units

The following units are mandatory for this qualification. For access to all optional units please visit centrezone.bii.org.

Unit Title	Legal and Social Responsibilities of a Personal Licence Holder	
BIIAB Reference	LSRPLHv2	
Level	2	
GLH	8	
Total Unit Time	10	
Unit Reference No.	A/616/5204	
Learning Outcome - The learner will:	Assessment Criterion - The learner can:	
1 Know the nature, purpose and period of validity of a Personal Licence	1.1	State the nature and purpose of a personal licence
	1.2	State the period of validity of a personal licence
2 Understand the application process and legal duties when applying for a Personal Licence	2.1	State how a personal licence application is made, including eligibility criteria
	2.2	Outline the licensing authority's process for the grant or rejection of new personal licences
	2.3	Identify who may object to the grant of a personal licence
	2.4	Define the terms 'relevant offences' and 'foreign offences'
	2.5	State the legal duty of an applicant to declare if they are convicted of any relevant or foreign offences during the application process
	2.6	State the penalty for failing to declare conviction of relevant or foreign offences during the application process
3 Understand the legal duties of a Personal Licence Holder	3.1	State the legal duties of a personal licence holder if charged with a relevant or foreign offence
	3.2	State the legal duties of a personal licence holder if convicted of a relevant or foreign offence after the licence is granted
	3.3	State the legal duties of a personal licence holder if a personal licence is surrendered or revoked
	3.4	State the legal duties of a personal licence holder if a change of name and/or address occurs
	3.5	State the legal duties of a personal licence holder to produce their licence, when requested, including who may legally request this
	3.6	State the consequences for breaching the legal duties of a personal licence holder
	3.7	State the consequences for a personal licence holder convicted of a drink driving offence
4 Understand the	4.1	State what licensing authorities are

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Learning Outcome - The learner will:	Assessment Criterion - The learner can:	
roles, responsibilities and functions of licensing authorities	4.2	Outline the roles and responsibilities of licensing authorities
	4.3	Outline what a licensing policy is
	4.4	Outline the purpose of a licensing hearing, including appeals
5 Understand the Licensing Objectives and the importance of partnerships in promoting these objectives	5.1	State the licensing objectives
	5.2	State the importance of the licensing objectives
	5.3	State what an operating schedule is
	5.4	State what an operating schedule should include in order to promote the licensing objectives
	5.5	Identify the importance of partnerships in supporting the licensing objectives
	5.6	Identify the role of Community Safety Partnerships
6 Understand the nature and strength of alcohol and the effect on the body	6.1	State the legal definition of alcohol under licensing law
	6.2	State how the strength of an alcoholic drink is measured
	6.3	Define the terms 'alcohol-free' and 'low alcohol'
	6.4	State the UK Government's sensible drinking advice
	6.5	State the behavioural and psychological effect of alcohol consumption
	6.6	Identify the effects alcohol has on the body
7 Understand the law in relation to premises licences	7.1	Explain what a premises licence is
	7.2	State the procedures for a premises licence application
	7.3	State the grounds for a hearing and an appeal in respect of a premises licence application

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Learning Outcome - The learner will:	Assessment Criterion - The learner can:	
	7.4	Explain the purposes of a premises licence review
	7.5	State the mandatory conditions attached to premises licensed to sell alcohol for consumption on and/or off the premises
	7.6	Identify the licensable activities defined by licensing legislation
	7.7	State the law in relation to variations to premises licences
	7.8	State the law in relation to what constitutes unauthorised licensable activities
	7.9	Identify what a due diligence defence is and when it may be used
	7.10	State penalties for breaching licensing conditions
8 Know the role and legal responsibilities of the Designated Premises Supervisor (DPS)	8.1	Outline the job role of the DPS
	8.2	State the legal responsibility of the DPS
9 Understand the law in relation to Temporary Event Notices (TEN)	9.1	State what is meant by the term 'permitted temporary activities'
	9.2	State what a TEN is
	9.3	State the law in relation to the frequency of permitted temporary activities
	9.4	State the circumstances under which the police or environmental health officers can object to a TEN
10 Understand the rights and legal duties of the responsible persons in relation to operation of	10.1	State the duty to refuse the sale of alcohol to a drunken person
	10.2	Identify examples of irresponsible drinks promotions
	10.3	Identify strategies licensed premises may adopt to prevent violence and reduce conflict

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Level	2	
GLH	8	
Total Unit Time	10	
Unit Reference No.	A/616/5204	
Learning Outcome - The learner will:	Assessment Criterion - The learner can:	
licensed premises	10.4	Identify the consequences of allowing illegal drug use and dealing on licensed premises
	10.5	Identify who is legally responsible for preventing disorderly conduct on licensed premises
	10.6	State the consequences for allowing drunk and disorderly conduct on licensed premises
11 Know the law in relation to the protection of children on licensed premises	11.1	State the law in relation to the sale of alcohol to children
	11.2	State the law in relation to the purchase or attempted purchase of alcohol by or for children
	11.3	State the law in relation to the unsupervised sale of alcohol by a child
	11.4	State the types and features of acceptable proof of age documents
	11.5	Outline the importance of other legislation related to the protection of children
	11.6	State the law in relation to the presence of children in licensed premises
	11.7	State the law in relation to the consumption of alcohol by under 18s
	11.8	State penalties for breaching the law in relation to the protection of under 18s on licensed premises
12 Understand the powers of the police and other authorities in relation to licensed premises	12.1	State the law in relation to the closure of identified licensed premises
	12.2	State the law in relation to the closure of licensed premises covering a particular geographical area
	12.3	Identify which authorities have a right of entry to licensed premises and when they can exercise these rights
13 Understand prohibitions and exemptions in relation to licensable	13.1	Identify the circumstances under which sales of alcohol are not considered to be a retail sale under licensing law
	13.2	Identify which forms of entertainment are regulated and which are exempt

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GLH	8	
Total Unit Time	10	
Unit Reference No.	A/616/5204	
Learning Outcome - The learner will:	Assessment Criterion - The learner can:	
activities	13.3	Identify which types of premises are prohibited from selling alcohol
	13.4	State the law in relation to the sale of alcohol on moving vehicles

Notices

This book does not purport to contain an authoritative or definitive statement of the law or practice on any subject and the publishers supply the information on the strict understanding that no legal or other liability attaches to them in respect of it. References to sources of further guidance or information have been made where appropriate.

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